



CLIENT RELATIONSHIP SUMMARY (Form CRS)

August 28, 2025

Lime Trading Corp. ("Lime Trading") is registered with the U.S. Securities and Exchange Commission ("SEC") as a broker-dealer and is a member of the Financial Industry Regulatory Authority ("[FINRA](#)"), the New York Stock Exchange ("[NYSE](#)"), the Securities Investor Protection Corporation ("[SIPC](#)"), and the National Futures Association ("[NFA](#)"). Lime Trading Corp. offers a variety of account and investment options to both retail and institutional customers. Brokerage and investment advisory services and fees differ and it is important for retail investors to understand the differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Conversation Starters. Ask your financial professional:

- *Given my financial situation, should I choose a brokerage service? Why or why not?*
- *How will you choose investments to recommend to me?*
- *What is your relevant experience, including your licenses, education and other qualifications?*
- *What do these qualifications mean?*

Lime Trading is a registered broker-dealer that provides self-directed brokerage services for investors. We offer trading in domestic equities, options, futures, exchange-traded funds (ETFs), and money market funds. You must meet certain investment minimums to open a brokerage account. For specific minimums, visit Lime.co/FAQ. Our registered representatives are employed by Lime Trading, a broker-dealer and not by an investment advisor. Lime Trading does not solicit orders or offer recommendations or advice. You are responsible for all decisions regarding your investments. We do not review the performance of your account and your orders should be based on your own risk tolerances and investment objectives. Client accounts are monitored by the Lime Trading to manage our risk, not to monitor the risk of clients. Our employees are registered representatives for the purposes of providing customer service and trading support only. Our employees are not investment advisors and therefore, do not choose investments, solicit orders, or offer recommendations or investment advice. You make the ultimate decision regarding the purchase or sale of investments. If you are unsure whether you should open an account at Lime Trading, please consult a financial professional. For additional information regarding our services, please visit our website at Lime.co.

What fees will I pay?

Conversation Starters. Ask your financial professional:

- *Help me understand how these fees and costs might affect my investments.*
- *If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

The fees you pay will depend on the type of brokerage services you choose, the services that you request, and the volume of trading that you do. Lime Trading offers a zero commission rate for trades through Lime Trader. For Lime Direct, you will pay us a commission for each investment you buy or sell and the more transactions you make, the more fees you will pay us. You may also pay regulatory, exchange and clearing firm fees that are passed through to the account. These fees may be in addition to execution fees or commissions charged. If you borrow money in a margin account, you will be charged margin interest. If you short stock shares, you will be charged the applicable interest rate to borrow the shares. You may also pay fees associated with back-office processing and maintenance for custodial or administrative services, such as wire transfers, returned checks, retirement account closeouts, and express mail delivery. For detailed information regarding fees, please visit lime.co/pricing. Mutual funds, ETFs, and similar products typically charge their own separate fees and expenses. See the applicable prospectus or offering document for more information about these fees and expenses.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. These fees are shown on your client statement.

What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?

We do not make recommendations. The way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the services that we provide you. Here are some examples to help you understand what this means.



Examples of Ways We Make Money and Conflicts of Interest

Conversation Starters. Ask your financial professional:

- *How might your conflicts of interest affect me, and how will you address them?*
- *How do your financial professionals make money?*

We receive compensation for directing equity and options order flow to certain market participants. We have an incentive for you to trade more frequently and in greater volume because we generally receive more fees when you do so. We also receive payments from certain mutual funds in the form of shareholder service fees. We have an incentive for you to invest in third-party funds that pay us shareholder service fees. We receive payments, credits, reductions in our costs and/or conference support from third-party product managers, sponsors, intermediaries, and platform providers or their affiliates, including from our clearing brokers (ABN AMRO, Axos, Vision, and Wedbush) and third-party asset management platform providers. Our financial professionals are salaried employees who are not paid commissions for products sold, transactions executed, or the amount of assets serviced.

Conversation Starters. Ask your financial professional:

- *As a financial professional, do you have any disciplinary history? For what type of conduct?*

Do you or your financial professionals have legal or disciplinary history?

Yes. Visit www.investor.gov/CRS for a free and simple search tool to research us and our financial professionals.

Additional Information

Conversation Starters. Ask your financial professional:

- *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer?*
- *Who can I talk to if I have concerns about how this person is treating me?*

For additional information about our services, please visit our website at Lime.co or call 1-855-274-4934 (toll free) where you can request up-to-date information and a printed copy of Form CRS and a copy of the Lime Trading Regulation Best Interest Disclosures. Lime does not assign designated representatives to handle customer accounts. If you have any concerns about the service that you receive, you can contact us at: support@lime.co or call us at 646-558-3232.